

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37
CFR 1.53(b))

Attorney Docket No. AT. 0440 Total Pages 45
First Inventor or Application Identifier Branko D. Kovacevic
Title SYSTEM AND METHOD FOR RECEPTION, PROCESSING
AND TRANSMISSION OF DIGITAL AUDIO STREAM
Express Mail Label No. EL693022594US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application
contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification *Total Pages 28*
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawings (35 USC 113) *Total Sheets 11*
4. ☒ Oath or Declaration *Total Pages 2*
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application
(37 CFR 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Microfiche Computer Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of
Statement (IDS)/PTO-1449 IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Applicant claims small entity status.
See 37 CFR 1.27
☐ Statement filed in Prior Application,
Status still proper and desired.
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Request & Certification Under 35 U.S.C.
122(b)(2)(B)(i)
16. ☐ Other

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

Prior Application Information: Examiner

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is
supplied under Box 4B, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby
incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted
application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 27412

or, ☐ Correspondence Address Below

Simon, Galasso & Frantz, PLC
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Telephone: 512-336-8957

Facsimile: 512-336-9155

Name and Registration
Number (Print/Type)

J. Gustav Larson, Reg. No. 39,263

Signature

J. Gustav Larson

Date

March 6, 2001

03/06/01

11058 U.S. PTO

JC918 U.S. PTO
09/800225
03/06/01

FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 948.00

Complete if Known

| | |
|------------------------|---------------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Branko D. Kovacevic |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | ATI.0100440 |

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge
Indicated fees and credit any over payments to:

| | |
|------------------------|------------------------|
| Deposit Account Number | 50-0441 |
| Deposit Account Name | ATI Technologies, Inc. |

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance

☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|------------------------|----------|
| 101 | 201 | Utility filing fee | 710.00 |
| 106 | 206 | Design filing fee | |
| 107 | 207 | Plant filing fee | |
| 108 | 208 | Reissue filing fee | |
| 114 | 214 | Provisional filing fee | |

SUBTOTAL (1) (\$) 710.00

2. CLAIMS

| Claims | Extra | Fee from below | Fee Paid |
|---------------|---------|----------------|----------|
| Total | (-20 =) | 18.00 | 198.00 |
| 31 | 11 | | |
| Indep. | (-3 =) | | |
| Multiple Dep. | | | |

| Large Entity Fee Code | Small Entity Fee Code | Fee Description |
|-----------------------|-----------------------|---|
| 103 | 203 | Claims in excess of 20 |
| 102 | 202 | Independent claims in excess of 3 |
| 104 | 204 | Multiple dependent claim |
| 109 | 209 | Reissue independent claims over original patent |
| 110 | 210 | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 198

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 105 | 205 | Surcharge - late filing fee or oath | |
| 127 | 227 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 239 | Non-English specification | |
| 147 | 247 | For filing a request for reexamination | |
| 112 | 212 | Requesting publication of SIR prior to Examiner action | |
| 113 | 213 | Requesting publication of SIR after Examiner action | |
| 115 | 215 | Extension for reply within first month | |
| 116 | 216 | Extension for reply within second month | |
| 117 | 217 | Extension for reply within third month | |
| 118 | 218 | Extension for reply within fourth month | |
| 128 | 228 | Extension for reply within fifth month | |
| 119 | 219 | Notice of Appeal | |
| 120 | 220 | Filing a brief in support of an appeal | |
| 121 | 221 | Request for oral hearing | |
| 138 | 238 | Petition to institute a public use proceeding | |
| 140 | 240 | Petition to revive - unavoidable | |
| 141 | 241 | Petition to revive - unintentional | |
| 142 | 242 | Utility issue fee (or reissue) | |
| 143 | 243 | Design issue fee | |
| 144 | 244 | Plant issue fee | |
| 122 | 222 | Petitions to the Commissioner | |
| 123 | 223 | Petitions related to provisional applications | |
| 126 | 226 | Submission of Information Disclosure Stmt | |
| 581 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 146 | 246 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 249 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify) | | | |
| Other fee (specify) | | | |

* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00

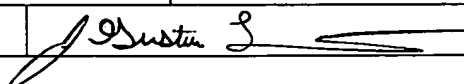
SUBMITTED BY: Simon, Galasso & Frantz, PLC.

Complete (if applicable)

Typed or Printed Name

J. Gustav Larson, Reg. No. 39,263

Signature



Date

03-06-01

Deposit Account User ID

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Branko D. Kovacevic

Examiner:

Serial No:

Art Group:

Filing Date:

Docket No: ATI.0100440

Title: SYSTEM AND METHOD FOR RECEPTION, PROCESSING AND
TRANSMISSION OF DIGITAL AUDIO STREAM

To the Honorable Commissioner
of Patents and Trademarks
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL693022594US

Name of Depositor: **Terri Alloway**
(print or type)

Date of Deposit: March 6, 2001

Signature: Terri Alloway

I hereby certify that this paper and the items identified below are being deposited with the U.S. Postal Service "Express Mail Post Office to Addresses" service under 37 C.F.R. Section 1.10 on the 'Date of Deposit', indicated above, and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Items accompanying this Certificate of Express Mailing:

- ☒ Filing papers for a new patent application, such filing papers include:
- ☒ A specification and drawings for a new patent application;
 - ☒ Transmittal cover letter;
 - ☒ Fee Transmittal Form;
 - ☒ Assignment agreement with Recordation Form Cover Sheet;
 - ☒ Combined Declaration and Power of Attorney;
 - ☐ Information Disclosure Statement;
 - ☒ Return upon receipt post card;